



Vision Algorithms for Mobile Robotics

Lecture 11 Tracking

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Next week, seminar by NASA JPL during lecture

- When: Thursday, December 5 at 8:00 am followed by Lecture 12
- Title: "Vision-Based Navigation Challenges for Mars Helicopters"
- Who: Dr. Jeff Delaune: https://www-robotics.jpl.nasa.gov/people/Jeff Delaune/





Jet Propulsion Laboratory California Institute of Technology





Next week afternoon, public talk by NASA JPL

- When: Thursday, December 5 at 16:15hrs
- Where: UZH Irchel Campus, Y15-G40, followed by apero
- Title: "Robotics Challenges for Planetary Exploration at JPL"
- Info: <u>https://www.spacehub.uzh.ch/en/events/Robotics-Challenges-for-Planetary-Exploration-at-NASA-JPL.html</u>



RPG Lab Open Doors

- When: December 19, at 13:00hrs
- Where: Robotics and Perception Group, Andreasstrasse, 15, 2.11

Lab Exercise – Today

Implement the Kanade-Lucas-Tomasi (KLT) tracker



Template tracking

Goal: **follow** a template image in a video sequence



Problem Formulation

Goal: estimate the transformation W (warp) between a template T and the current image I

warp

 $W(\mathbf{x}, \mathbf{p})$

Template image T



Current image I



Common 2D Transformations (recall Lecture 03, slides 36-37)

We denote the transformation W(**x**, **p**) and **p** the set of parameters $\mathbf{p} = (a_1, a_2, ..., a_n)$

• Translation

$$\begin{aligned} x' &= x + a_1 \\ y' &= y + a_2 \end{aligned}$$

• Euclidean

$$x' = xcos(a_3) - ysin(a_3) + a_1$$

 $y' = xsin(a_3) + ycos(a_3) + a_2$

• Affine

 Projective (homography)

$$x' = a_1 x + a_3 y + a_5$$

$$y' = a_2 x + a_4 y + a_6$$

$$x' = \frac{a_1 x + a_2 y + a_3}{a_7 x + a_8 y + 1}$$

$$y' = \frac{a_4 x + a_5 y + a_6}{a_7 x + a_8 y + 1}$$



Two possible approaches

- Indirect methods (i.e., feature based)
- Can cope with large frame-to-frame motions (large basin of convergence)
- Slow due to costly feature extraction, matching, and outlier removal (e.g., RANSAC)

• Direct methods

- ✓ All information in the image can be exploited (higher accuracy, higher robustness to motion blur and weak texture (i.e., weak gradients))
- Increasing the camera frame-rate reduces computational cost per frame (no RANSAC needed)
- ✓ Very sensitive to intial value → limited frame-to-frame motion (small basin of convergence)

Indirect methods work by detecting and matching features (i.e., feature based)

- 1. Detect and match features that are invariant to scale, rotation, view point changes (e.g., SIFT)
- 2. Geometric verification (RANSAC) (e.g., 4-point RANSAC for planar objects, or 5 or 8-point RANSAC for 3D objects)
- 3. Refine estimate by minimizing the sum of squared reprojection errors between the observed feature f^i in the current image and the warped corresponding feature $W(\mathbf{x}^i, \mathbf{p})$ from the template

$$\mathbf{p} = argmin_{\mathbf{p}} \sum_{i=1}^{N} \left\| W(\mathbf{x}^{i}, \mathbf{p}) - f^{i} \right\|^{2}$$

- Pros: can cope with large frame-to-frame motion and strong illumination changes
- Cons: computationally expensive



Direct methods work by directly processing pixel intensities (i.e. without features)

Goal: estimate the parameters **p** of the transformation $W(\mathbf{x}, \mathbf{p})$ that minimize the Sum of Squared Differences:

$$\mathbf{p} = argmin_{\mathbf{p}} \sum_{\mathbf{x} \in \mathbf{T}} \left[I(W(\mathbf{x}, \mathbf{p})) - T(\mathbf{x}) \right]^{2}$$

* Every yellow dot in this image denotes a pixel

Assumptions

Brightness constancy

The intensity of the pixels to track does not change much over consecutive frames \rightarrow It does not cope with strong illumination changes

Temporal consistency

Small frame-to-frame motion (1-2 pixels).

 \rightarrow It does not cope with large frame-to-frame motion. However, this can be addressed using coarse-to-fine multi-scale implementations (see later)

Spatial coherency

All pixels in the template undergo the same transformation (i.e., they all lay on the same 3D surface)

 \rightarrow No errors in the template image boundaries: only the object to track appears in the template image

 \rightarrow No occlusion: the entire template is visible in the input image

The Kanade-Lucas-Tomasi (KLT) tracker

• Simplified case: pure translation

• General case

Lucas, Kanade, An iterative image registration technique with an application to stereo vision. Proceedings of Imaging Understanding Workshop, 1981. <u>PDF</u>. Tomasi, Kanade, Detection and Tracking of Point Features, Carnegie Mellon University Technical Report CMU-CS-91-132, 1991. <u>PDF</u>. Baker, Matthews, Lucas-Kanade 20 Years On: A Unifying Framework, International Journal of Computer Vision, 2004. <u>PDF</u>. ¹³

Consider the reference patch centered at (x, y) in image I_0 and the shifted patch centered at (x + u, y + v)in image I_1 . The patch has size Ω . We want to find the motion vector (u, v) that minimizes the Sum of Squared Differences (SSD):

$$SSD(u, v) = \sum_{x,y \in \Omega} (I_0(x, y) - I_1(x + u, y + v))^2$$
$$I_1(x + u, y + v) \cong I_1(x, y) + I_x u + I_y v$$
$$\Rightarrow SSD(u, v) \cong \sum_{x,y \in \Omega} (I_0(x, y) - I_1(x, y) - I_x u - I_y v)^2$$
$$\Rightarrow SSD(u, v) \cong \sum_{x,y \in \Omega} (\Delta I - I_x u - I_y v)^2$$

This is a simple quadratic function in two variables (u, v)

 $x.v \in \Omega$

$$\Rightarrow SSD(u,v) \cong \sum_{x,y\in\Omega} (\Delta I - I_x u - I_y v)^2$$

To minimize it, we differentiate it with respect to (u, v) and equate it to zero:

$$\frac{\partial SSD}{\partial u} = 0 , \ \frac{\partial SSD}{\partial v} = 0$$

$$\frac{\partial SSD}{\partial u} = 0 \implies -2\sum_{x} I_x(\Delta I - I_x u - I_y v) = 0$$

$$\frac{\partial SSD}{\partial v} = 0 \implies -2\sum I_y (\Delta I - I_x u - I_y v) = 0$$

$$\sum I_x(\Delta I - I_x u - I_y v) = 0$$

$$\sum I_y (\Delta I - I_x u - I_y v) = 0$$

$$\sum I_x(\Delta I - I_x u - I_y v) = 0$$

$$\sum I_y (\Delta I - I_x u - I_y v) = 0$$

- Linear system of two equations in two unknowns
- We can write them in matrix form:

Haven't we seen this matrix already?

This is the M matrix of the Harris detector (Lecture 05)

$$\begin{bmatrix} \sum I_{x}I_{x} & \sum I_{x}I_{y} \\ \sum I_{x}I_{y} & \sum I_{y}I_{y} \end{bmatrix}^{u} = \begin{bmatrix} \sum I_{x}\Delta I \\ \sum I_{y}\Delta I \end{bmatrix} \Rightarrow \begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} \sum I_{x}I_{x} & \sum I_{x}I_{y} \\ \sum I_{x}I_{y} & \sum I_{y}I_{y} \end{bmatrix}^{1} \begin{bmatrix} \sum I_{x}\Delta I \\ \sum I_{y}\Delta I \end{bmatrix}$$

For M to be invertible, det(M) should be non zero, which means that its eigenvalues should be large (i.e., not a flat region, not an edge) \rightarrow in practice, it **should be a corner or more generally contain texture**!

$$M = \begin{bmatrix} \sum I_x I_x & \sum I_x I_y \\ \sum I_x I_y & \sum I_y I_y \end{bmatrix} = R^{-1} \begin{bmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{bmatrix} R$$

Application to Corner Tracking

Color encodes motion direction

When does it fail?

What if you track every single pixel in the image?

The Kanade-Lucas-Tomasi (KLT) tracker

• Simplified case: pure translation

• General case

Lucas, Kanade, An iterative image registration technique with an application to stereo vision. Proceedings of Imaging Understanding Workshop, 1981. PDF. Tomasi, Kanade, Detection and Tracking of Point Features, Carnegie Mellon University Technical Report CMU-CS-91-132, 1991. PDF.

Goal: estimate the parameters **p** of the transformation $W(\mathbf{x}, \mathbf{p})$ that minimize the SSD:

$$SSD = \sum_{\mathbf{x}\in\mathbf{T}} \left[I(W(\mathbf{x},\mathbf{p})) - T(\mathbf{x}) \right]^2$$

* Every yellow dot in this image denotes a pixel

Goal: estimate the parameters **p** of the transformation $W(\mathbf{x}, \mathbf{p})$ that minimize the SSD:

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- KLT follows the Gauss-Newton method for minimization, that is:
 - Applies a first-order approximation of the warp
 - Attempts to minimize the SSD iteratively

$$SSD = \sum_{\mathbf{x}\in\mathbf{T}} \left[I(W(\mathbf{x},\mathbf{p})) - T(\mathbf{x}) \right]^2$$

• Assume that an initial estimate of **p** is known. Then, we want to find the increment $\Delta \mathbf{p}$ that minimizes

$$SSD = \sum_{\mathbf{x}\in\mathbf{T}} \left[I \left(W(\mathbf{x}, \mathbf{p} + \Delta \mathbf{p}) \right) - T(\mathbf{x}) \right]^2$$

• First-order Taylor approximation of $I(W(\mathbf{x}, \mathbf{p} + \Delta \mathbf{p}))$ yelds to:

$$I(W(\mathbf{x},\mathbf{p}+\Delta\mathbf{p})) \cong I(W(\mathbf{x},\mathbf{p})) + \nabla I \frac{\partial W}{\partial \mathbf{p}} \Delta \mathbf{p}$$

 $\nabla I = [I_x, I_y] =$ Image gradient evaluated at $W(\mathbf{x}, \mathbf{p})$ Jacobian of the warp $W(\mathbf{x}, \mathbf{p})$

$$SSD = \sum_{\mathbf{x}\in\mathbf{T}} \left[I \left(W(\mathbf{x}, \mathbf{p} + \Delta \mathbf{p}) \right) - T(\mathbf{x}) \right]^2$$

• By replacing $I(W(\mathbf{x}, \mathbf{p} + \Delta \mathbf{p}))$ with its 1st order approximation, we get

$$SSD = \sum_{\mathbf{x}\in\mathbf{T}} \left[I(W(\mathbf{x},\mathbf{p})) + \nabla I \frac{\partial W}{\partial \mathbf{p}} \Delta \mathbf{p} - T(\mathbf{x}) \right]^2$$

- How do we minimize it?
- We differentiate SSD with respect to $\Delta \mathbf{p}$ and we equate it to zero, i.e., $\frac{\partial SSD}{\partial \Delta \mathbf{p}} = 0$

$$SSD = \sum_{\mathbf{x}\in\mathbf{T}} \left[I(W(\mathbf{x},\mathbf{p})) + \nabla I \frac{\partial W}{\partial \mathbf{p}} \Delta \mathbf{p} - T(\mathbf{x}) \right]^2$$

$$\frac{\partial SSD}{\partial \Delta \mathbf{p}} = 2 \sum_{\mathbf{x} \in \mathbf{T}} \left[\nabla I \frac{\partial W}{\partial \mathbf{p}} \right]^{\mathrm{T}} \left[I (W(\mathbf{x}, \mathbf{p})) + \nabla I \frac{\partial W}{\partial \mathbf{p}} \Delta \mathbf{p} - T(\mathbf{x}) \right]$$
$$\frac{\partial SSD}{\partial \Delta \mathbf{p}} = 0$$
$$2 \sum_{\mathbf{x} \in \mathbf{T}} \left[\nabla I \frac{\partial W}{\partial \mathbf{p}} \right]^{\mathrm{T}} \left[I (W(\mathbf{x}, \mathbf{p})) + \nabla I \frac{\partial W}{\partial \mathbf{p}} \Delta \mathbf{p} - T(\mathbf{x}) \right] = 0 \Rightarrow$$

$$\sum_{\mathbf{x}\in\mathbf{T}} \left[\nabla I \frac{\partial W}{\partial \mathbf{p}} \right] \left[I (W(\mathbf{x}, \mathbf{p})) + \nabla I \frac{\partial W}{\partial \mathbf{p}} \Delta \mathbf{p} - T(\mathbf{x}) \right] = 0 \Rightarrow$$

$$\Rightarrow \Delta \mathbf{p} = H^{-1} \sum_{\mathbf{x} \in \mathbf{T}} \left[\nabla I \frac{\partial W}{\partial \mathbf{p}} \right]^{\mathrm{T}} \left[T(\mathbf{x}) - I(W(\mathbf{x}, \mathbf{p})) \right] = H = \sum_{\mathbf{x} \in \mathbf{T}} \left[\nabla I \frac{\partial W}{\partial \mathbf{p}} \right]^{\mathrm{T}} \left[\nabla I \frac{\partial W}{\partial \mathbf{p}} \right]^{\mathrm{T}}$$

Second moment matrix (Hessian) of the warped image

What does H look like when the warp is a pure translation?

KLT algorithm: Discussion

KLT algorithm is iterated until convergence by following a **predict-correct cycle**

- 1. A **prediction** $I(W(\mathbf{x}, \mathbf{p}))$ of the warped image is computed from an initial estimate of \mathbf{p}
- 2. The **correction** parameter $\Delta \mathbf{p}$ is then computed as a function of the **error** $T(\mathbf{x}) I(W(\mathbf{x}, \mathbf{p}))$ between the prediction and the template. The larger this error, the larger the correction applied
- 3. Steps 1 & 2 are iterated until the error is smaller than a threshold and the output parameters are used as input for the next frame

KLT algorithm: Discussion

- How to get the initial estimate **p**?
- When does the Lucas-Kanade fail?
 - If the initial estimate is too far, then the linear approximation does not longer hold
 → Solution: Coarse-to-fine implementations (see next slide)
 - Too poor texture
 - → Solution: increase the aperture (see next slide)
 - Deviations from mathematical warp model: object deformations, illumination changes, etc.
 → Solution: Update the template with the last image: problem: drift
 - Occlusions
 - Template background

Coarse-to-fine estimation

• Consider the motion of the following corner

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• Now, look at the local brightness changes through a small aperture

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- Now, look at the local brightness changes through a small aperture
- We cannot always determine the motion direction \rightarrow Infinite motion solutions may exist!
- Solution?

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- We cannot always determine the motion direction \rightarrow Infinite motion solutions may exist!
- Solution?
 - Increase aperture size!

Generalization of KLT

• The same concept (predict/correct) can be applied to tracking of 3D object (in this case, what is the transformation to etimate? What is the template?)

Generalization of KLT

- The same concept (predict/correct) can be applied to tracking of 3D object (in this case, what is the transformation to etimate? What is the template?)
- In order to deal with wrong prediction, it can be implemented in a **Particle-Filter** fashion (using multiple hipotheses that need to be validated)

Math Refresher

Common 2D Transformations in Matrix form

We denote the transformation $W(\mathbf{x}, \mathbf{p})$ and \mathbf{p} the set of parameters $p = (a_1, a_2, ..., a_n)$

• Translation
$$W(\mathbf{x}, \mathbf{p}) = \begin{bmatrix} x + a_1 \\ y + a_2 \end{bmatrix} = \begin{bmatrix} 1 & 0 & a_1 \\ 0 & 1 & a_2 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$
 Homogeneous coordinates

• Euclidean
$$W(\mathbf{x}, \mathbf{p}) = \begin{bmatrix} x\cos(a_3) - y\sin(a_3) + a_1 \\ x\sin(a_3) + y\cos(a_3) + a_2 \end{bmatrix} = \begin{bmatrix} \cos(a_3) & -\sin(a_3) & a_1 \\ \sin(a_3) & \cos(a_3) & a_2 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

• Affine
$$W(\mathbf{x}, \mathbf{p}) = \begin{bmatrix} a_1 x + a_3 y + a_5 \\ a_2 x + a_4 y + a_6 \end{bmatrix} = \begin{bmatrix} a_1 & a_3 & a_5 \\ a_2 & a_4 & a_6 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

• Projective
(homography)
$$W(\tilde{\mathbf{x}}, \mathbf{p}) = \begin{bmatrix} a_1 & a_2 & a_3 \\ a_4 & a_5 & a_6 \\ a_7 & a_8 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

Common 2D Transformations in Matrix form

Name	Matrix	# D.O.F.	Preserves:	Icon	
translation	$\left[egin{array}{c c} I & t \end{array} ight]_{2 imes 3}$	2	orientation $+ \cdots$		$W(\mathbf{x}, \mathbf{p}) = \begin{bmatrix} 1 & 0 & a_1 \\ 0 & 1 & a_2 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$
rigid (Euclidean)	$\left[egin{array}{c c} R & t \end{array} ight]_{2 imes 3}$	3	lengths $+ \cdots$	\Diamond	$W(\mathbf{x}, \mathbf{p}) = \begin{bmatrix} \cos(a_3) & -\sin(a_3) & a_1 \\ \sin(a_3) & \cos(a_3) & a_2 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$
similarity	$\left[\left. s R \right t ight]_{2 imes 3}$	4	angles $+\cdots$	\Diamond	$W(\mathbf{x}, \mathbf{p}) = a_4 \begin{bmatrix} \cos(a_3) & -\sin(a_3) & a_1 \\ \sin(a_3) & \cos(a_3) & a_2 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$
affine	$\left[egin{array}{c} A \end{array} ight]_{2 imes 3}$	6	parallelism $+\cdots$	\square	$W(\mathbf{x}, \mathbf{p}) = \begin{bmatrix} a_1 & a_3 & a_5 \\ a_2 & a_4 & a_6 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$
projective	$\left[egin{array}{c} ilde{H} \end{array} ight]_{3 imes 3}$	8	straight lines		$W(\widetilde{\boldsymbol{x}}, \mathbf{p}) = \begin{bmatrix} a_1 & a_2 & a_3 \\ a_4 & a_5 & a_6 \\ a_7 & a_8 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$

Derivative and gradient

- Function: f(x)
- Derivative: $f'(x) = \frac{df}{dx}$, where x is a scalar
- Function: $f(x_1, x_2, ..., x_n)$

• Gradient:
$$\nabla f(x_1, x_2, \dots, x_n) = \left(\frac{\partial f}{\partial x_1}, \frac{\partial f}{\partial x_2}, \dots, \frac{\partial f}{\partial x_n}\right)$$

Jacobian

•
$$F(x_1, x_2, ..., x_n) = \begin{bmatrix} f_1(x_1, x_2, ..., x_n) \\ \vdots \\ f_m(x_1, x_2, ..., x_n) \end{bmatrix}$$
 is a vector-valued function

• The derivative in this case is called Jacobian $\frac{\partial F}{\partial \mathbf{x}}$:

$$\frac{\partial F}{\partial \mathbf{x}} = \begin{bmatrix} \frac{\partial f_1}{\partial x_1}, \dots, \frac{\partial f_1}{\partial x_n} \\ \vdots \\ \frac{\partial f_m}{\partial x_1}, \dots, \frac{\partial f_m}{\partial x_n} \end{bmatrix}$$

Carl Gustav Jacob (1804-1851)

Displacement-model Jacobians ∇W_p

$$p = (a_1, a_2, \dots, a_n)$$

• Translation:
$$W(\mathbf{x}, \mathbf{p}) = \begin{bmatrix} x + a_1 \\ y + a_2 \end{bmatrix}$$
 $\frac{\partial W}{\partial \mathbf{p}} = \begin{bmatrix} \frac{\partial W_1}{\partial a_1} & \frac{\partial W_1}{\partial a_2} \\ \frac{\partial W_2}{\partial a_1} & \frac{\partial W_2}{\partial a_2} \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$

• Euclidean:
$$W(\mathbf{x}, \mathbf{p}) = \begin{bmatrix} x\cos(a_3) - y\sin(a_3) + a_1 \\ x\sin(a_3) + y\cos(a_3) + a_2 \end{bmatrix} \qquad \frac{\partial W}{\partial \mathbf{p}} = \begin{bmatrix} 1 & 0 & -x\sin(a_3) - y\cos(a_3) \\ 0 & 1 & x\cos(a_3) - y\sin(a_3) \end{bmatrix}$$

• Affine:
$$W(\mathbf{x}, \mathbf{p}) = \begin{bmatrix} a_1 x + a_3 y + a_5 \\ a_2 x + a_4 y + a_6 \end{bmatrix} \qquad \frac{\partial W}{\partial \mathbf{p}} = \begin{bmatrix} x & 0 & y & 0 & 1 & 0 \\ 0 & x & 0 & y & 0 & 1 \end{bmatrix}$$

Readings

• Baker, Matthews, *Lucas-Kanade 20 Years On: A Unifying Framework*, International Journal of Computer Vision, 2004. <u>PDF</u>.

Understanding Check

Are you able to answer the following questions?

- What is the problem formulation of tracking?
- Difference between direct and indirect methods and their pros and cons
- Can you illustrate tracking methods using point features?
- Are you able to explain the underlying assumptions behind direct methods, derive their mathematical expression for the case of pure rotation and the meaning of the M matrix?
- When is the M matrix invertible and when not?
- What is optical flow?
- Are you able to describe the working principle of KLT for a generic warp?
- What functional does KLT minimize?
- What is the Hessian matrix and for which warping function does it coincide to that used for pure translation?
- Can you list Lukas-Kanade failure cases and how to overcome them?
- How do we get the initial guess?
- Can you illustrate the coarse-to-fine Lucas-Kanade implementation?
- What is the aperture problem and how can we overcome it?